## ATMOSPHERIC CHEMISTRY AND PHYSICS

From Air Pollution to Climate Change Third Edition

> JOHN H. SEINFELD SPYROS N. PANDIS



### ATMOSPHERIC CHEMISTRY AND PHYSICS

## ATMOSPHERIC CHEMISTRY AND PHYSICS

From Air Pollution to Climate Change

**Third Edition** 

John H. Seinfeld Spyros N. Pandis



Copyright © 2016 by John Wiley & Sons, Inc. All rights reserved

Published by John Wiley & Sons, Inc., Hoboken, New Jersey Published simultaneously in Canada

No part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, scanning, or otherwise, except as permitted under Section 107 or 108 of the 1976 United States Copyright Act, without either the prior written permission of the Publisher, or authorization through payment of the appropriate per-copy fee to the Copyright Clearance Center, Inc., 222 Rosewood Drive, Danvers, MA 01923, (978) 750-8400, fax (978) 750-4470, or on the web at www.copyright.com. Requests to the Publisher for permission should be addressed to the Permissions Department, John Wiley & Sons, Inc., 111 River Street, Hoboken, NJ 07030, (201) 748-6011, fax (201) 748-6008, or online at http://www.wiley.com/go/permission.

Limit of Liability/Disclaimer of Warranty: While the publisher and author have used their best efforts in preparing this book, they make no representations or warranties with respect to the accuracy or completeness of the contents of this book and specifically disclaim any implied warranties of merchantability or fitness for a particular purpose. No warranty may be created or extended by sales representatives or written sales materials. The advice and strategies contained herein may not be suitable for your situation. You should consult with a professional where appropriate. Neither the publisher nor author shall be liable for any loss of profit or any other commercial damages, including but not limited to special, incidental, consequential, or other damages.

For general information on our other products and services or for technical support, please contact our Customer Care Department within the United States at (800) 762-2974, outside the United States at (317) 572-3993 or fax (317) 572-4002.

Wiley also publishes its books in a variety of electronic formats. Some content that appears in print may not be available in electronic formats. For more information about Wiley products, visit our web site at www.wiley.com.

#### Library of Congress Cataloging-in-Publication Data:

Names: Seinfeld, John H. | Pandis, Spyros N., 1963Title: Atmospheric chemistry and physics : from air pollution to climate change / John H. Seinfeld, Spyros N. Pandis.
Description: Third edition. | Hoboken, New Jersey : John Wiley & Sons, Inc., [2016] | "A Wiley-Interscience publication." | Includes index.
Identifiers: LCCN 2015043236 (print) | LCCN 2015045406 (ebook) | ISBN 9781118947401 (cloth) | ISBN 9781119221166 (pdf) | ISBN 9781119221173 (epub)
Subjects: LCSH: Atmospheric chemistry. | Air–Pollution–Environmental

aspects. | Environmental chemistry. Classification: LCC QC879.6 .S45 2016 (print) | LCC QC879.6 (ebook) | DDC 551.51/1–dc23

LC record available at http://lccn.loc.gov/2015043236

#### Printed in the United States of America

10 9 8 7 6 5 4 3 2 1

To Benjamin and Elizabeth and Angeliki and Nikos

## Contents

#### Preface to the First Edition xxiii

Preface to the Third Edition xxv

#### PART I | The Atmosphere and Its Constituents

#### Chapter 1 | The Atmosphere

- 1.1 History and Evolution of Earth's Atmosphere 3
- 1.2 Climate 5
- 1.3 Layers of the Atmosphere 5
- 1.4 Pressure in the Atmosphere 7
  - 1.4.1 Units of Pressure 7
  - 1.4.2 Variation of Pressure with Height in the Atmosphere 7
- 1.5 Temperature in the Atmosphere 10
- 1.6 Expressing the Amount of a Substance in the Atmosphere 10
- 1.7 Airborne Particles 14
- **1.8 Spatial and Temporal Scales of Atmospheric Processes 14 Problems 16**

References 17

#### Chapter 2 | Atmospheric Trace Constituents

2.1 Atmospheric Lifetime 19

#### 2.2 Sulfur-Containing Compounds 23

- 2.2.1 Dimethyl Sulfide (CH<sub>3</sub>SCH<sub>3</sub>) 26
- 2.2.2 Carbonyl Sulfide (OCS) 26
- 2.2.3 Sulfur Dioxide (SO<sub>2</sub>) 27

#### 2.3 Nitrogen-Containing Compounds 27

- 2.3.1 Nitrous Oxide (N<sub>2</sub>O) 28
- 2.3.2 Nitrogen Oxides ( $NO_x = NO + NO_2$ ) 29
- 2.3.3 Reactive Odd Nitrogen ( $NO_{y}$ ) 30
- 2.3.4 Ammonia (NH<sub>3</sub>) 31
- 2.3.5 Amines 32

#### 2.4 Carbon-Containing Compounds 32

- 2.4.1 Classification of Hydrocarbons 32
- 2.4.2 Methane 34
- 2.4.3 Volatile Organic Compounds 36
- 2.4.4 Biogenic Hydrocarbons 36
- 2.4.5 Carbon Monoxide 39
- 2.4.6 Carbon Dioxide 40

#### 2.5 Halogen-Containing Compounds 40

- 2.5.1 Methyl Chloride ( $CH_3Cl$ ) 42
- 2.5.2 Methyl Bromide (CH<sub>3</sub>Br) 42
- 2.6 Atmospheric Ozone 44
- 2.7 Particulate Matter (Aerosols) 47
  - 2.7.1 Stratospheric Aerosol 48
    - 2.7.2 Chemical Components of Tropospheric Aerosol 48
    - 2.7.3 Cloud Condensation Nuclei (CCN) 49
    - 2.7.4 Sizes of Atmospheric Particles 49
    - 2.7.5 Carbonaceous Particles 51
    - 2.7.6 Mineral Dust 53
    - 2.7.7 Biomass Burning 53
    - 2.7.8 Summary of Atmospheric Particulate Matter 54
- 2.8 Mercury 55
- 2.9 Emission Inventories 55

Appendix 2.1 US Air Pollution Legislation 56 Appendix 2.2 Hazardous Air Pollutants (Air Toxics) 57 Problems 59 References 61

#### PART II | Atmospheric Chemistry

- Chapter 3 | Chemical Kinetics
  - 3.1 Order of Reaction 69
  - 3.2 Theories of Chemical Kinetics 71
    - 3.2.1 Collision Theory 71
    - 3.2.2 Transition State Theory 74
    - 3.2.3 Potential Energy Surface for a Bimolecular Reaction 75
  - 3.3 The Pseudo-Steady-State Approximation 76
  - 3.4 Reactions of Excited Species 77
  - 3.5 Termolecular Reactions 78
  - 3.6 Chemical Families 81
  - 3.7 Gas–Surface Reactions 83
  - Problems 84

References 87

#### Chapter 4 | Atmospheric Radiation and Photochemistry

- 4.1 Radiation 884.2 Radiative Flux in the Atmosphere 91
- 4.3 Beer Lambert Law and Optical Depth 93
- 4.4 Actinic Flux 95
- 4.5 Atmospheric Photochemistry 97
- 4.6 Absorption of Radiation by Atmospheric Gases 100
- 4.7 Absorption by  $O_2$  and  $O_3$  105
- 4.8 Photolysis Rate as a Function of Altitude 109
- 4.9 Photodissociation of  $O_3$  to Produce O and O(<sup>1</sup>D) 112
- 4.10 Photodissociation of NO<sub>2</sub> 114
- Problems 117
- References 117

69

#### Chapter 5 | Chemistry of the Stratosphere 5.1 Chapman Mechanism 122 5.2 Nitrogen Oxide Cycles 129 Stratospheric Source of $NO_x$ from $N_2O$ 129 5.2.1 5.2.2 $NO_{y}$ Cycles 131 5.3 HO<sub>x</sub> Cycles 134 Halogen Cycles 139 5.4 5.4.1 Chlorine Cycles 140 Bromine Cycles 143 5.4.2 5.5 Reservoir Species and Coupling of the Cycles 144 5.6 Ozone Hole 146 Polar Stratospheric Clouds (PSCs) 149 5.6.1 5.6.2 PSCs and the Ozone Hole 150 Arctic Ozone Hole 153 5.6.3 5.7 Heterogeneous (Nonpolar) Stratospheric Chemistry 155 5.7.1 The Stratospheric Aerosol Layer 155 5.7.2 Heterogeneous Hydrolysis of N<sub>2</sub>O<sub>5</sub> 155 5.7.3 Effect of Volcanoes on Stratospheric Ozone 160 5.8 Summary of Stratospheric Ozone Depletion 162 Transport and Mixing in the Stratosphere 165 5.9 5.10 Ozone Depletion Potential 167 Problems 168

References 173

#### | Chemistry of the Troposphere Chapter 6

- 6.1 Production of Hydroxyl Radicals in the Troposphere 176
- 6.2 Basic Photochemical Cycle of NO<sub>2</sub>, NO, and O<sub>3</sub> 179
- Atmospheric Chemistry of Carbon Monoxide 181 6.3
  - 6.3.1 Low-NO<sub>r</sub> Limit 183
    - 6.3.2 High-NO<sub>x</sub> Limit 184
    - 6.3.3 Ozone Production Efficiency 184
  - Theoretical Maximum Yield of Ozone from CO Oxidation 188 6.3.4
- 6.4 Atmospheric Chemistry of Methane 188
- 6.5 The NO<sub>x</sub> and NO<sub>y</sub> Families 192
  - 6.5.1 Daytime Behavior 192
    - 6.5.2 Nighttime Behavior 193
- 6.6 Ozone Budget of the Troposphere and Role of  $NO_x$  195
  - 6.6.1 Ozone Budget of the Troposphere 195
  - 6.6.2 Role of NO<sub>x</sub> 195
  - 6.6.3 Global Hydroxyl Radical Budget 197

#### 6.7 Tropospheric Reservoir Molecules 203

- H<sub>2</sub>O<sub>2</sub>, CH<sub>3</sub>OOH, and Hydroperoxides 203 6.7.1
- 6.7.2 Nitrous Acid (HONO) 204
- 6.7.3 Peroxyacyl Nitrates (PANs) 204
- 6.8 Relative Roles of VOC and NO<sub>x</sub> in Ozone Formation 208
  - Importance of the  $VOC/NO_x$  Ratio 208 6.8.1
  - 6.8.2 Ozone Isopleth Plot 209
  - 6.8.3 Weekend Ozone Effect 211
- 6.9 Simplified Organic/NO<sub>x</sub> Chemistry 212
- 6.10 Chemistry of Nonmethane Organic Compounds in the Troposphere 214

119

- 6.10.1 Alkanes 215
- 6.10.2 Alkenes 222
- 6.10.3 Aromatics 228
- 6.10.4 Aldehydes 230
- 6.10.5 Ketones 230
- 6.10.6 Ethers 231
- 6.10.7 Alcohols 231
- 6.10.8 Tropospheric Lifetimes of Organic Compounds 232

#### 6.11 Atmospheric Chemistry of Biogenic Hydrocarbons 233

- 6.11.1 Atmospheric Chemistry of Isoprene 233
- 6.11.2 Monoterpenes (α-Pinene) 241
- 6.12 Atmospheric Chemistry of Reduced Nitrogen Compounds 244
  - 6.12.1 Amines 245
  - 6.12.2 Nitriles 246
  - 6.12.3 Nitrites 246
- 6.13 Atmospheric Chemistry (Gas Phase) of Sulfur Compounds 246
  - 6.13.1 Sulfur Oxides 246
  - 6.13.2 Reduced Sulfur Compounds (Dimethyl Sulfide) 247
- 6.14 Tropospheric Chemistry of Halogen Compounds 249
  - 6.14.1 Chemical Cycles of Halogen Species 249
  - 6.14.2 Tropospheric Chemistry of CFC Replacements: Hydrofluorocarbons (HFCs) and Hydrochlorofluorocarbons (HCFCs) 251
- 6.15 Atmospheric Chemistry of Mercury 253 Appendix 6 Organic Functional Groups 254
- Problems 256

References 259

Chapter 7 | Chemistry of the Atmospheric Aqueous Phase

- 7.1 Liquid Water in the Atmosphere 265
- 7.2 Absorption Equilibria and Henry's Law 268
- 7.3 Aqueous-Phase Chemical Equilibria 271
  - 7.3.1 Water 271
  - 7.3.2 Carbon Dioxide–Water Equilibrium 272
  - 7.3.3 Sulfur Dioxide–Water Equilibrium 274
  - 7.3.4 Ammonia–Water Equilibrium 278
  - 7.3.5 Nitric Acid–Water Equilibrium 280
  - 7.3.6 Equilibria of Other Important Atmospheric Gases 281
- 7.4 Aqueous-Phase Reaction Rates 284
- 7.5 S(IV)–S(VI) Transformation and Sulfur Chemistry 286
  - 7.5.1 Oxidation of S(IV) by Dissolved O<sub>3</sub> 286
  - 7.5.2 Oxidation of S(IV) by Hydrogen Peroxide 289
  - 7.5.3 Oxidation of S(IV) by Organic Peroxides 290
  - 7.5.4 Uncatalyzed Oxidation of S(IV) by O<sub>2</sub> 291
  - 7.5.5 Oxidation of S(IV) by O<sub>2</sub> Catalyzed by Iron and Manganese 291
  - 7.5.6 Comparison of Aqueous-Phase S(IV) Oxidation Paths 293

#### 7.6 Dynamic Behavior of Solutions with Aqueous-Phase Chemical Reactions 295

- 7.6.1 Closed System 296
- 7.6.2 Calculation of Concentration Changes in a Droplet with
  - Aqueous-Phase Reactions 298
- Appendix 7.1 Thermodynamic and Kinetic Data 301

#### Appendix 7.2 Additional Aqueous-Phase Sulfur Chemistry 305

- 7A.1 S(IV) Oxidation by the OH Radical 305
- 7A.2 Oxidation of S(IV) by Oxides of Nitrogen 308
- 7A.3 Reaction of Dissolved SO<sub>2</sub> with HCHO 309

Appendix 7.3 Aqueous-Phase Nitrite and Nitrate Chemistry 311

- 7A.4 NO<sub>x</sub> Oxidation 311
- 7A.5 Nitrogen Radicals 311

Appendix 7.4 Aqueous-Phase Organic Chemistry 312

Appendix 7.5 Oxygen and Hydrogen Chemistry 313

Problems 314

References 317

#### PART III | Aerosols

#### Chapter 8 | Properties of the Atmospheric Aerosol

#### 8.1 The Size Distribution Function 325

- 8.1.1 The Number Distribution  $n_N(D_p)$  328
- 8.1.2 The Surface Area, Volume, and Mass Distributions 330
- 8.1.3 Distributions Based on  $\ln D_p$  and  $\log D_p$  331
- 8.1.4 Relating Size Distributions Based on Different Independent Variables 333
- 8.1.5 Properties of Size Distributions 334
- 8.1.6 Definition of the Lognormal Distribution 335
- 8.1.7 Plotting the Lognormal Distribution 338
- 8.1.8 Properties of the Lognormal Distribution 339

#### 8.2 Ambient Aerosol Size Distributions 342

- 8.2.1 Urban Aerosols 343
- 8.2.2 Marine Aerosols 344
- 8.2.3 Rural Continental Aerosols 347
- 8.2.4 Remote Continental Aerosols 348
- 8.2.5 Free Tropospheric Aerosols 348
- 8.2.6 Polar Aerosols 349
- 8.2.7 Desert Aerosols 349
- 8.3 Aerosol Chemical Composition 352
- 8.4 Spatiotemporal Variation 354
- Problems 357

References 359

#### Chapter 9 | Dynamics of Single Aerosol Particles

#### 9.1 Continuum and Noncontinuum Dynamics: the Mean Free Path 362

- 9.1.1 Mean Free Path of a Pure Gas 363
- 9.1.2 Mean Free Path of a Gas in a Binary Mixture 365
- 9.2 The Drag on a Single Particle: Stokes' Law 368
  - 9.2.1 Corrections to Stokes' Law: the Drag Coefficient 371
  - 9.2.2 Stokes' Law and Noncontinuum Effects: Slip Correction Factor 371
- 9.3 Gravitational Settling of an Aerosol Particle 372
- 9.4 Motion of an Aerosol Particle in an External Force Field 376

#### 9.5 Brownian Motion of Aerosol Particles 376

9.5.1 Particle Diffusion 379

362

- 9.5.2 Aerosol Mobility and Drift Velocity 381
- 9.5.3 Mean Free Path of an Aerosol Particle 384

#### 9.6 Aerosol and Fluid Motion 385

- 9.6.1 Motion of a Particle in an Idealized Flow (90° Corner) 386
- 9.6.2 Stop Distance and Stokes Number 387

#### 9.7 Equivalent Particle Diameters 388

- 9.7.1 Volume Equivalent Diameter 388
- 9.7.2 Stokes Diameter 390
- 9.7.3 Classical Aerodynamic Diameter 391
- 9.7.4 Electrical Mobility Equivalent Diameter 393
- Problems 393

References 394

#### Chapter 10 | Thermodynamics of Aerosols

#### 10.1 Thermodynamic Principles 396

- 10.1.1 Internal Energy and Chemical Potential 396
- 10.1.2 The Gibbs Free Energy G 398
- 10.1.3 Conditions for Chemical Equilibrium 400
- 10.1.4 Chemical Potentials of Ideal Gases and Ideal-Gas Mixtures 402
- 10.1.5 Chemical Potential of Solutions 404
- 10.1.6 The Equilibrium Constant 408

#### 10.2 Aerosol Liquid Water Content 409

- 10.2.1 Chemical Potential of Water in Atmospheric Particles 411
- 10.2.2 Temperature Dependence of the DRH 412
- 10.2.3 Deliquescence of Multicomponent Aerosols 415
- 10.2.4 Crystallization of Single- and Multicomponent Salts 419

#### 10.3 Equilibrium Vapor Pressure Over a Curved Surface: the Kelvin Effect 419

- 10.4 Thermodynamics of Atmospheric Aerosol Systems 423
  - 10.4.1 The  $H_2SO_4$ - $H_2O$  System 423
  - 10.4.2 The Sulfuric Acid–Ammonia–Water System 427
  - 10.4.3 The Ammonia–Nitric Acid–Water System 430
  - 10.4.4 The Ammonia–Nitric Acid–Sulfuric Acid–Water System 434
  - 10.4.5 Other Inorganic Aerosol Species 439
  - 10.4.6 Organic Aerosol 440
- 10.5 Aerosol Thermodynamic Models 440
- Problems 442

References 443

#### Chapter 11 | Nucleation

11.1 Classical Theory of Homogeneous Nucleation: Kinetic Approach 449

- 11.1.1 The Forward Rate Constant  $\beta_i$  452
- 11.1.2 The Reverse Rate Constant  $\gamma_i$  453
- 11.1.3 Derivation of the Nucleation Rate 453
- 11.2 Classical Homogeneous Nucleation Theory: Constrained Equilibrium Approach 457
  - 11.2.1 Free Energy of *i*-mer Formation 457
  - 11.2.2 Constrained Equilibrium Cluster Distribution 459
  - 11.2.3 The Evaporation Coefficient  $\gamma_i$  461
  - 11.2.4 Nucleation Rate 461

396

- 11.4 Experimental Measurement of Nucleation Rates 465
  - 11.4.1 Upward Thermal Diffusion Cloud Chamber 466
  - 11.4.2 Fast Expansion Chamber 466
  - 11.4.3 Turbulent Mixing Chambers 467
- 11.5 Modifications of the Classical Theory and More Rigorous Approaches 467
- 11.6 Binary Homogeneous Nucleation 468
- 11.7 Binary Nucleation in the H<sub>2</sub>SO<sub>4</sub>–H<sub>2</sub>O System 473
- 11.8 Nucleation on an Insoluble Foreign Surface 475
- 11.9 Ion-Induced Nucleation 478
- 11.10 Atmospheric New-Particle Formation 480
  - 11.10.1 Molecular Constituency of New Particles 481
  - 11.10.2 New-Particle Growth Rates 482
  - 11.10.3 CLOUD Studies of Atmospheric Nucleation 482
  - 11.10.4 Atmospheric Nucleation by Organic Species 487

#### Appendix 11 The Law of Mass Action 487

Problems 489

References 490

#### Chapter 12 | Mass Transfer Aspects of Atmospheric Chemistry

#### 12.1 Mass and Heat Transfer to Atmospheric Particles 493

- 12.1.1 The Continuum Regime 493
- 12.1.2 The Kinetic Regime 497
- 12.1.3 The Transition Regime 497
- 12.1.4 The Accommodation Coefficient 500

#### 12.2 Mass Transport Limitations in Aqueous-Phase Chemistry 503

- 12.2.1 Characteristic Time for Gas-Phase Diffusion to a Particle 505
- 12.2.2 Characteristic Time to Achieve Equilibrium at the Gas–Liquid Interface 506
- 12.2.3 Characteristic Time of Aqueous Dissociation Reactions 508
- 12.2.4 Characteristic Time of Aqueous-Phase Diffusion in a Droplet 510
- 12.2.5 Characteristic Time for Aqueous-Phase Chemical Reactions 511

#### 12.3 Mass Transport and Aqueous-Phase Chemistry 511

- 12.3.1 Gas-Phase Diffusion and Aqueous-Phase Reactions 512
- 12.3.2 Aqueous-Phase Diffusion and Reaction 514
- 12.3.3 Interfacial Mass Transport and Aqueous-Phase Reactions 515
- 12.3.4 Application to the S(IV)–Ozone Reaction 517
- 12.3.5 Application to the S(IV)–Hydrogen Peroxide Reaction 519
- 12.3.6 Calculation of Aqueous-Phase Reaction Rates 520
- 12.3.7 An Aqueous-Phase Chemistry/Mass Transport Model 525
- 12.4 Mass Transfer to Falling Drops 526
- 12.5 Characteristic Time for Atmospheric Aerosol Equilibrium 527
  - 12.5.1 Solid Aerosol Particles 528
  - 12.5.2 Aqueous Aerosol Particles 529

Appendix 12 Solution of the Transient Gas-Phase Diffusion Problem: Equations (12.4)–(12.7) 532

#### Problems 533

References 535

537

#### Chapter 13 | Dynamics of Aerosol Populations

#### 13.1 Mathematical Representations of Aerosol Size Distributions 537

- 13.1.1 Discrete Distribution 537
- 13.1.2 Continuous Distribution 538
- 13.2 Condensation 538
  - 13.2.1 The Condensation Equation 538
  - 13.2.2 Solution of the Condensation Equation 540
- 13.3 Coagulation 544
  - 13.3.1 Brownian Coagulation 544
  - 13.3.2 The Coagulation Equation 551
  - 13.3.3 Solution of the Coagulation Equation 553
- 13.4 The Discrete General Dynamic Equation 557
- 13.5 The Continuous General Dynamic Equation 558
- Appendix 13.1 Additional Mechanisms of Coagulation 560
  - 13A.1 Coagulation in Laminar Shear Flow 560
    - 13A.2 Coagulation in Turbulent Flow 560
    - 13A.3 Coagulation from Gravitational Settling 561
    - 13A.4 Brownian Coagulation and External Force Fields 562

Appendix 13.2 Solution of (13.73) 567

Problems 568

References 571

#### Chapter 14 | Atmospheric Organic Aerosols

- 14.1 Chemistry of Secondary Organic Aerosol Formation 574
  - 14.1.1 Oxidation State of Organic Compounds 576
  - 14.1.2 Generation of Highly Oxygenated Species by Autoxidation 579
- 14.2 Volatility of Organic Compounds 582
- 14.3 Idealized Description of Secondary Organic Aerosol Formation 583
  - 14.3.1 Noninteracting Secondary Organic Aerosol Compounds 583
  - 14.3.2 Formation of Binary Ideal Solution with Preexisting Aerosol 586
  - 14.3.3 Formation of Binary Ideal Solution with Other Organic Vapor 588
- 14.4 Gas–Particle Partitioning 590
  - 14.4.1 Gas–Particle Equilibrium 590
  - 14.4.2 Effect of Aerosol Water on Gas-Particle Partitioning 594
- 14.5 Models of SOA Formation and Evolution 596
  - 14.5.1 The Volatility Basis Set 597
    - 14.5.2 Two-Dimensional SOA Models 603
- 14.6 Primary Organic Aerosol 605
- 14.7 The Physical State of Organic Aerosols 608
- 14.8 SOA Particle-Phase Chemistry 610
  - 14.8.1 Particle-Phase Accretion Reactions 612
  - 14.8.2 Heterogeneous Gas-Aerosol Reactions 612
- 14.9 Aqueous-Phase Secondary Organic Aerosol Formation 615
  - 14.9.1 Gas- versus Aqueous-Phase Routes to SOA 616
  - 14.9.2 Sources of OH Radicals in the Aqueous Phase 618
  - 14.9.3 Glyoxal as a Source of aqSOA 619
- 14.10 Estimates of the Global Budget of Atmospheric Organic Aerosol 622
  - 14.10.1 Estimate Based on Total VOC Emissions 622
  - 14.10.2 Sulfate Lifetime and Ratio of Organic to Sulfate 622

14.10.3 Atmospheric Burden and Lifetime of SOA 623 14.10.4 Satellite Measurements 623Problems 623References 626

#### Chapter 15 | Interaction of Aerosols with Radiation

#### 15.1 Scattering and Absorption of Light by Small Particles 633

- 15.1.1 Rayleigh Scattering Regime 638
- 15.1.2 Geometric Scattering Regime 640
- 15.1.3 Scattering Phase Function 640
- 15.1.4 Extinction by an Ensemble of Particles 640
- 15.2 Visibility 644
- 15.3 Scattering, Absorption, and Extinction Coefficients From Mie Theory 647
  15.4 Calculated Visibility Reduction Based on Atmospheric Data 651
  Appendix 15 Calculation of Scattering and Extinction
  Coefficients by Mie Theory 654
  Problems 654
  References 656

#### PART IV | Physical and Dynamic Meteorology, Cloud Physics, and Atmospheric Diffusion

Chapter 16 | Physical and Dynamic Meteorology

16.1 Temperature in the Lower Atmosphere 661

- 16.2 Atmospheric Stability 665
- 16.3 The Moist Atmosphere 670
  - 16.3.1 The Gas Constant for Moist Air 671
  - 16.3.2 Level of Cloud Formation: The Lifting Condensation Level 671
  - 16.3.3 Dew-point and Wet-Bulb Temperatures 673
  - 16.3.4 The Moist Adiabatic Lapse Rate 675
  - 16.3.5 Stability of Moist Air 679
  - 16.3.6 Convective Available Potential Energy (CAPE) 680
  - 16.3.7 Thermodynamic Diagrams 681

#### 16.4 Basic Conservation Equations for the Atmospheric Surface Layer 683

- 16.4.1 Turbulence 687
- 16.4.2 Equations for the Mean Quantities 688
- 16.4.3 Mixing-Length Models for Turbulent Transport 690

#### 16.5 Variation of Wind with Height in the Atmosphere 692

- 16.5.1 Mean Velocity in the Adiabatic Surface Layer over a Smooth Surface 693
- 16.5.2 Mean Velocity in the Adiabatic Surface Layer over a Rough Surface 694
- 16.5.3 Mean Velocity Profiles in the Nonadiabatic Surface Layer 695
- 16.5.4 The Pasquill Stability Classes—Estimation of L 698
- 16.5.5 Empirical Equation for the Mean Windspeed 700

Appendix 16.1 Properties of Water and Water Solutions 701

- 16A.1 Specific Heat of Water and Ice 701
- 16A.2 Latent Heats of Vaporization and Melting for Water 701
- 16A.3 Water Surface Tension 701

633

Appendix 16.2 Derivation of the Basic Equations of Surface-Layer Atmospheric Fluid Mechanics 702 Problems 705 References 706

Chapter 17 | Cloud Physics

708

#### 17.1 Equilibrium of Water Droplets in the Atmosphere 708

- 17.1.1 Equilibrium of a Pure Water Droplet 708
- 17.1.2 Equilibrium of a Flat Water Solution 710
- 17.1.3 Atmospheric Equilibrium of an Aqueous Solution Drop 712
- 17.1.4 Atmospheric Equilibrium of an Aqueous Solution Drop
- Containing an Insoluble Substance 717

#### 17.2 Cloud and Fog Formation 719

- 17.2.1 Isobaric Cooling 720
- 17.2.2 Adiabatic Cooling 720
- 17.2.3 A Simplified Mathematical Description of Cloud Formation 721
- 17.3 Growth Rate of Individual Cloud Droplets 723

#### 17.4 Growth of a Droplet Population 726

- 17.5 Cloud Condensation Nuclei 730
  - 17.5.1 Ambient CCN 733
  - 17.5.2 The Hygroscopic Parameter Kappa 733
- 17.6 Cloud Processing of Aerosols 736
  - 17.6.1 Nucleation Scavenging of Aerosols by Clouds 736
  - 17.6.2 Chemical Composition of Cloud Droplets 737
  - 17.6.3 Nonraining Cloud Effects on Aerosol Concentrations 739
  - 17.6.4 Interstitial Aerosol Scavenging by Cloud Droplets 742
- 17.7 Other Forms of Water in the Atmosphere 743
  - 17.7.1 Ice Clouds 743
  - 17.7.2 Rain 747

#### Appendix 17 Extended Köhler Theory 751

- 17A.1 Modified Form of Köhler Theory for a Soluble Trace Gas 751
- 17A.2 Modified Form of Köhler Theory for a Slightly Soluble Substance 754
- 17A.3 Modified Form of Köhler Theory for a Surface-Active Solute 755
- 17A.4 Examples 756
- Problems 759
- References 760

Chapter 18 | Atmospheric Diffusion

- 18.1 Eulerian Approach 763
- 18.2 Lagrangian Approach 766
- 18.3 Comparison of Eulerian and Lagrangian Approaches 767
- **18.4 Equations Governing the Mean Concentration of Species in Turbulence 767** 18.4.1 Eulerian Approaches 767
  - 18.4.2 Lagrangian Approaches 769
- 18.5 Solution of the Atmospheric Diffusion Equation for an Instantaneous Source 771
- 18.6 Mean Concentration from Continuous Sources 772
  - 18.6.1 Lagrangian Approach 772
  - 18.6.2 Eulerian Approach 776
  - 18.6.3 Summary of Continuous Point Source Solutions 777

18.7	Statisti	cal Theory of Turbulent Diffusion 778				
	18.7.1	Qualitative Features of Atmospheric Diffusion 778				
	18.7.2	Motion of a Single Particle Relative to a Fixed Axis 780				
18.8	Summa	ary of Atmospheric Diffusion Theories 783				
18.9	.9 Analytical Solutions for Atmospheric Diffusion: the Gaussian Plume Equation and Others 784					
	18.9.1	Gaussian Concentration Distributions 784				
	18.9.2	Derivation of the Gaussian Plume Equation as a Solution				
		of the Atmospheric Diffusion Equation 786				
	18.9.3	Summary of Gaussian Point Source Diffusion Formulas 791				
18.10	Dispers	ion Parameters in Gaussian Models 791				
	18.10.1	Correlations for $\sigma_y$ and $\sigma_z$ Based on Similarity Theory 791				
	18.10.2	Correlations for $\sigma_y$ and $\sigma_z$ Based on Pasquill Stability Classes 795				
18.11	Plume	Rise 796				
18.12	Functio	nal Forms of Mean Windspeed and Eddy Diffusivities 798				
	18.12.1	Mean Windspeed 800				
	18.12.2	Vertical Eddy Diffusion Coefficient $K_{zz}$ 800				
	18.12.3	Horizontal Eddy Diffusion Coefficients $K_{xx}$ and $K_{yy}$ 803				
18.13	18.13 Solutions of the Steady-State Atmospheric Diffusion Equation 80					
	18.13.1	Diffusion from a Point Source 804				
	18.13.2	Diffusion from a Line Source 805				
Apper	ndix 18.	1 Further Solutions of Atmospheric Diffusion Problems 807				
	18A.1	Solution of (18.29)–(18.31) 807				
	18A.2	Solution of (18.50) and (18.51) 809				
	18A.3	Solution of (18.59)–(18.61) 810				
Apper	ndix 18.	2 Analytical Properties of the Gaussian Plume Equation 811				
Proble	ems 81	5				
Refere	ences 8	323				

#### PART V | Dry and Wet Deposition

#### Chapter 19 | Dry Deposition

- 19.1 Deposition Velocity 829
- 19.2 Resistance Model for Dry Deposition 830
- 19.3 Aerodynamic Resistance 834
- 19.4 Quasilaminar Resistance 835
  - 19.4.1 Gases 836
  - 19.4.2 Particles 836
- 19.5 Surface Resistance 839
  - 19.5.1 Surface Resistance for Dry Deposition of Gases to Water 841
  - 19.5.2 Surface Resistance for Dry Deposition of Gases to Vegetation 845
- 19.6 Measurement of Dry Deposition 849
  - 19.6.1 Direct Methods 849
  - 19.6.2 Indirect Methods 850
  - 19.6.3 Comparison of Methods 851
- 19.7 Some Comments on Modeling and Measurement of Dry Deposition 851
- Problems 852

References 854

#### Chapter 20 | Wet Deposition

- 20.1 General Representation of Atmospheric Wet Removal Processes 856
- 20.2 Below-Cloud Scavenging of Gases 860
  - 20.2.1 Below-Cloud Scavenging of an Irreversibly Soluble Gas 861
  - 20.2.2 Below-Cloud Scavenging of a Reversibly Soluble Gas 864
- 20.3 Precipitation Scavenging of Particles 868
  - 20.3.1 Raindrop–Aerosol Collision Efficiency 870
  - 20.3.2 Scavenging Rates 871
- 20.4 In-Cloud Scavenging 873
- 20.5 Acid Deposition 874
  - 20.5.1 Acid Rain Overview 874
  - 20.5.2 Surface Water Acidification 876
  - 20.5.3 Cloudwater Deposition 877
  - 20.5.4 Fogs and Wet Deposition 877
- 20.6 Acid Deposition Process Synthesis 878
  - 20.6.1 Chemical Species Involved in Acid Deposition 878
  - 20.6.2 Dry versus Wet Deposition 878
  - 20.6.3 Chemical Pathways for Sulfate and Nitrate Production 878
  - 20.6.4 Source–Receptor Relationships 879
  - 20.6.5 Linearity 880

Problems 881

References 886

#### PART VI | The Global Atmosphere, Biogeochemical Cycles, and Climate

Chapter 21 | General Circulation of the Atmosphere

- 21.1 Hadley Cell 893
- 21.2 Ferrell Cell and Polar Cell 893
- 21.3 Coriolis Force 895
- 21.4 Geostrophic Windspeed 897
  - 21.4.1 Buys Ballot's Law 899
  - 21.4.2 Ekman Spiral 900
- 21.5 The Thermal Wind Relation 902
- 21.6 Stratospheric Dynamics 905
- 21.7 The Hydrologic Cycle 905
- Problems 906
- References 907

#### Chapter 22 | Global Cycles: Sulfur and Carbon

22.1 The Atmospheric Sulfur Cycle 908

#### 22.2 The Global Carbon Cycle 912

- 22.2.1 Carbon Dioxide 912
- 22.2.2 Compartmental Model of the Global Carbon Cycle 914
- 22.2.3 Atmospheric Lifetime of CO<sub>2</sub> 921
- 22.3 Solution for a Steady-State Four-Compartment Model of the Atmosphere 923

Problems 927 References 929

Chapter 23 | Global Climate

23.1 Earth's Energy Balance 931

#### 23.2 Radiative Forcing 933

- 23.2.1 Climate Sensitivity 934
- 23.2.2 Climate Feedbacks 935
- 23.2.3 Timescales of Climate Change 935

#### 23.3 The Greenhouse Effect 936

#### 23.4 Climate-Forcing Agents 942

- 23.4.1 Solar Irradiance 942
- 23.4.2 Greenhouse Gases 945
- 23.4.3 Radiative Efficiencies of Greenhouse Gases 946
- 23.4.4 Aerosols 946
- 23.4.5 Summary of IPCC (2013) Estimated Forcing 947
- 23.4.6 The Preindustrial Atmosphere 948

#### 23.5 Cosmic Rays and Climate 949

- 23.6 Climate Sensitivity 950
- 23.7 Simplified Dynamic Description of Climate Forcing and Response 951
  - 23.7.1 Response to a Perturbation of Earth's Radiative Equilibrium 951
  - 23.7.2 Physical Interpretation of Feedback Factors 954

#### 23.8 Climate Feedbacks 955

- 23.8.1 Water Vapor Feedback 955
- 23.8.2 Lapse Rate Feedback 956
- 23.8.3 Cloud Feedback 956
- 23.8.4 Arctic Sea Ice Feedback 958
- 23.8.5 Summary of Feedbacks 958

#### 23.9 Relative Radiative Forcing Indices 960

- **23.10** Atmospheric Chemistry and Climate Change 961 23.10.1 Indirect Chemical Impacts 962 23.10.2 Atmospheric Lifetimes and Adjustment Times 963
- 23.11 Conclusion 964
- Problems 965
- References 967

References 507

#### Chapter 24 | Aerosols and Climate

- 24.1 Scattering–Absorbing Model of an Aerosol Layer 972
- 24.2 Cooling Versus Heating of an Aerosol Layer 975
- 24.3 Scattering Model of an Aerosol Layer for a Nonabsorbing Aerosol 977
- 24.4 Upscatter Fraction 979
- 24.5 Optical Depth and Column Forcing 981
- 24.6 Internal and External Mixtures 985
- 24.7 Top-of-the-Atmosphere Versus Surface Forcing 987
- 24.8 Indirect Effects of Aerosols on Climate 990
  - 24.8.1 Stratocumulus Clouds 991
  - 24.8.2 Simplified Model for Cloud Albedo 993
  - 24.8.3 Albedo Susceptibility: Simplified Model 995

970

- A General Equation for Cloud Albedo Susceptibility 999 24.8.5
- 24.8.6 Estimating Indirect Aerosol Forcing on Climate 1003

Problems 1004 References 1004

#### PART VII | Chemical Transport Models and Statistical Models

Chapter 25 | Atmospheric Chemical Transport Models

#### 25.1 Introduction 1011

- 25.1.1 Model Types 1012
- 25.1.2 Types of Atmospheric Chemical Transport Models 1013

#### 25.2 Box Models 1014

- 25.2.1 The Eulerian Box Model 1015
- 25.2.2 A Lagrangian Box Model 1017
- 25.3 Three-Dimensional Atmospheric Chemical Transport Models 1020
  - 25.3.1 Coordinate System—Uneven Terrain 1020
  - 25.3.2 Initial Conditions 1022
  - 25.3.3 Boundary Conditions 1023
- 25.4 One-Dimensional Lagrangian Models 1024
- 25.5 Other Forms of Chemical Transport Models 1026
  - 25.5.1 Atmospheric Diffusion Equation Expressed in Terms of Mixing Ratio 1026
  - 25.5.2 Pressure-Based Coordinate System 1029
  - 25.5.3 Spherical Coordinates 1031
- 25.6 Numerical Solution of Chemical Transport Models 1031
  - 25.6.1 Coupling Problem—Operator Splitting 1032
  - 25.6.2 Chemical Kinetics 1037
  - 25.6.3 Diffusion 1041
  - 25.6.4 Advection 1042
- 25.7 Model Evaluation 1046

#### 25.8 Response of Organic and Inorganic Aerosols to Changes in Emission 1047 Problems 1048

References 1050

Chapter 26 | Statistical Models

1051

- **Receptor Modeling Methods** 1051 26.1
- 26.2 Chemical Mass Balance (CMB) 1054
  - 26.2.1 CMB Evaluation 1058
  - 26.2.2 CMB Resolution 1059
  - 26.2.3 CMB Codes 1059

#### 26.3 Factor Analysis 1059

- 26.3.1 Principal-Component Analysis (PCA) 1061
- 26.3.2 Positive Matrix Factorization (PMF) 1064

#### 26.4 Methods Incorporating Wind Information 1067

- 26.4.1 Potential Source Contribution Function (PSCF) 1068
- 26.4.2 Empirical Orthogonal Function (EOF) 1070

26.5	Probab	ility Distributions for Air Pollutant Concentrations 1072					
	26A.1	The Lognormal Distribution 1073					
	26A.2	The Weibull Distribution 1074					
26.6	Estima	timation of Parameters in the Distributions 1074					
	26A.1	Method of Quantiles 1075					
	26A.2	Method of Moments 1076					
26.7	Order Statistics of Air Quality Data 1078						
	26A.1	Basic Notions and Terminology of Order Statistics 1078					
	26A.2	Extreme Values 1079					
26.8	Exceed	ances of Critical Levels 1080					
26.9	Alterna	tive Forms of Air Quality Standards 1080					
26.10 Relating Current and Future Air Pollutant Statistical Distributions 1083							
Problems 1085							
References 1087							

#### Appendixes

Appendix A: | Units and Physical Constants 1091

- A.1 SI Base Units 1091
- A.2 SI Derived Units 1092
- A.3 Fundamental Physical Constants 1094
- A.4 Properties of the Atmosphere and Water 1094
- A.5 Units for Representing Chemical Reactions 1096
- A.6 Concentrations in the Aqueous Phase 1096
- A.7 Symbols Denoting Concentration 1097

References 1097

- Appendix B: | Rate Constants of Atmospheric Chemical Reactions 1098 References 1106
- Appendix C: | Abbreviations 1107
- Index 1112

## **Preface to the First Edition**

The study of atmospheric chemistry as a scientific discipline goes back to the eighteenth century, when the principal issue was identifying the major chemical components of the atmosphere, nitrogen, oxygen, water, carbon dioxide, and the noble gases. In the late nineteenth and early twentieth centuries attention turned to the so-called trace gases, species present at less than 1 part per million parts of air by volume (1 µmol per mole). We now know that the atmosphere contains a myriad of trace species, some at levels as low as 1 part per trillion parts of air. The role of trace species is disproportionate to their atmospheric abundance; they are responsible for phenomena ranging from urban photochemical smog, to acid deposition, to stratospheric ozone depletion, to potential climate change. Moreover, the composition of the atmosphere is changing; analysis of air trapped in ice cores reveals a record of striking increases in the longlived so-called greenhouse gases, carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), and nitrous oxide (N<sub>2</sub>O). Within the last century, concentrations of tropospheric ozone (O<sub>3</sub>), sulfate (SO<sub>4</sub><sup>2-</sup>), and carbonaceous aerosols in the Northern Hemisphere have increased significantly. There is evidence that all these changes are altering the basic chemistry of the atmosphere.

Atmospheric chemistry occurs within a fabric of profoundly complicated atmospheric dynamics. The results of this coupling of dynamics and chemistry are often unexpected. Witness the unique combination of dynamical forces that lead to a wintertime polar vortex over Antarctica, with the concomitant formation of polar stratospheric clouds that serve as sites for heterogeneous chemical reactions involving chlorine compounds resulting from anthropogenic chlorofluorocarbons—all leading to the near total depletion of stratospheric ozone over the South Pole each spring; witness the nonlinear, and counterintuitive, dependence of the amount of ozone generated by reactions involving hydrocarbons and oxides of nitrogen ( $NO_x$ ) at the urban and regional scales—although both hydrocarbons and  $NO_x$  are ozone precursors, situations exist where continuous emission of more and more  $NO_x$  actually leads to less ozone.

The chemical constituents of the atmosphere do not go through their lifecycles independently; the cycles of the various species are linked together in a complex way. Thus a perturbation of one component can lead to significant, and nonlinear, changes to other components and to feedbacks that can amplify or damp the original perturbation.

In many respects, at once both the most important and the most paradoxical trace gas in the atmosphere is ozone ( $O_3$ ). High in the stratosphere, ozone screens living organisms from biologically harmful solar ultraviolet radiation; ozone at the surface, in the troposphere, can produce adverse effects on human health and plants when present at levels elevated above natural. At the urban and regional scales, significant policy issues concern how to decrease ozone levels by controlling the ozone precursors—volatile organic compounds and oxides of nitrogen. At the global scale, understanding both the natural ozone chemistry of the troposphere and the causes of continually increasing background tropospheric ozone levels is a major goal.

Aerosols are particles suspended in the atmosphere. They arise directly from emissions of particles and from the conversion of volatile organic compounds to particles in the atmosphere. At elevated levels they inhibit visibility and are a human health hazard. There is a growing body of epidemiological data suggesting that increasing levels of aerosols may cause a significant increase in human mortality. For many years it was assumed that atmospheric aerosols did not interact in any appreciable way with the cycles of trace gases. We now know that particles in the air affect climate and interact chemically in heretofore unrecognized ways with atmospheric gases. Volcanic aerosols in the stratosphere, for example, participate in the catalytic destruction of ozone by chlorine compounds, not directly, but through the intermediary of  $NO_x$  chemistry. Aerosols reflect solar radiation back to space and, in so doing, cool the planet Earth. Aerosols are also the nuclei around which clouds droplets form—no aerosols, no clouds. Clouds are one of the most important elements or our climate system, so the effect of increasing global aerosol levels on Earth's cloudiness is a key problem in climate.

Historically the study of urban air pollution and its effects occurred more or less separately from that of the chemistry of Earth's atmosphere as a whole. Similarly, in its early stages, climate research focused exclusively on CO<sub>2</sub>, without reference to effects on the underlying chemistry of the atmosphere and their feedbacks on climate itself. It is now recognized, in quantitative scientific terms, that Earth's atmosphere is a continuum of spatial scales in which the urban atmosphere, the remote troposphere, the marine boundary layer, and the stratosphere are merely points from the smallest turbulent eddies and the fastest timescales of free-radical chemistry to global circulations and the decadal timescales of the longest-lived trace gases.

The object of this book is to provide a rigorous, comprehensive treatment of the chemistry of the atmosphere, including the formation, growth, dynamics, and properties of aerosols; the meteorology of air pollution; the transport, diffusion, and removal of species in the atmosphere; the formation and chemistry of clouds; the interaction of atmospheric chemistry and climate; the radiative and climatic effects of gases and particles; and the formulation of mathematical chemical/transport models of the atmosphere. Each of these elements is covered in detail in the present volume. In each area the central results are developed from first principles. In this way, the reader will gain a significant understanding of the science underlying the description of atmospheric processes and will be able to extend theories and results beyond those for which we have space here.

The book assumes that the reader has completed introductory courses in thermodynamics, transport phenomena (fluid mechanics and heat and mass transfer), and engineering mathematics (differential equations). Thus the treatment is aimed at the senior or first-year graduate level in typical engineering curricula as well as in meteorology and atmospheric science programs.

The book is intended to serve as a textbook for a course in atmospheric science that might vary in length from one quarter or semester to a full academic year. Aside from its use as a course textbook, the book will serve as a comprehensive reference book for professionals as well as for those from traditional engineering and science disciplines. Two types of appendixes are given: those of a general nature appear at the end of the book and are designated by letters; those of a nature specific to a certain chapter appear with that chapter and are numbered according to the associated chapter.

Numerous problems are provided to enable readers to evaluate their understanding of the material. In many cases the problems have been chosen to extend the results given in the chapter to new situations. The problems are coded with a "degree of difficulty" for the benefit of the student and the instructor. The subscript designation "A" (e.g.,  $1.1_A$  in the "Problems" section of Chapter 1) indicates a problem that involves a straightforward application of material in the text. Those problems denoted "B" require some extension of the ideas in the text. Problems designated "C" encourage the reader to apply concepts from the book to current problems in atmospheric science and go somewhat beyond the level of "B" problems. Finally, those problems denoted "D" are of a degree of difficulty corresponding to "C" but generally require development of a computer program for their solution.

JOHN H. SEINFELD SPYROS N. PANDIS

## **Preface to the Third Edition**

The Third Edition contains a number of significant changes since the Second Edition. The treatment of tropospheric chemistry (Chapter 6) has been expanded and updated. A major section on the atmospheric chemistry of biogenic hydrocarbons has been added, including a detailed treatment of isoprene chemistry. Sections on amine chemistry and the atmospheric chemistry of mercury have been added. An expanded and updated treatment of atmospheric new-particle formation (nucleation) has been added to Chapter 11. Chapter 14, "Atmospheric Organic Aerosols," has been completely rewritten, reflecting the major advances in our understanding of how organic aerosols form and evolve that have emerged since the publication of the Second Edition. This includes new understanding of the nature of primary organic aerosols, of particle-phase chemistry, and of secondary organic aerosol formation in the aqueous phase. This Third Edition contains a major expansion of physical and dynamic meteorology (Chapter 16), including a rigorous, self-contained treatment of atmospheric temperature profiles, atmospheric stability, and moist atmospheric thermodynamics. Treatment of the global carbon cycle (Chapter 22) has been updated and expanded. Chapter 23, "Global Climate," is an entirely new chapter with a self-contained comprehensive treatment of radiative forcing of climate by greenhouse gases, solar output changes, and a development of Earth's climate sensitivity and climate feedbacks, including water vapor, clouds, and atmospheric lapse rate. A significantly expanded and updated treatment of aerosolcloud relationships in climate has been added to Chapter 24, "Aerosols and Climate." Using stratocumulus clouds as the basis, the theory of the effect of aerosol perturbations on cloud properties is developed and illustrated. More examples, offset by vertical bars, have been added to the chapters to illustrate the concepts and show numerical calculations. Chapter 26 includes a new, self-contained treatment of positive matrix factorization, a widely used statistical method for analysis of aerosol data. New problems have been added in many of the chapters. A revised Problem Solution Manual is available for instructors.

In order to help the reader navigate the major areas covered in the book, the chapters in this edition are grouped according to major themes:

- **Part I: The Atmosphere and its Constituents** Chapters 1 and 2
- Part II: Atmospheric Chemistry Chapters 3–7

Part III: Aerosols Chapters 8–15

Part IV: Physical and Dynamic Meteorology, Cloud Physics, and Atmospheric Diffusion Chapters 16–18

Part V: Dry and Wet Deposition

Chapters 19 and 20

Part VI: The Global Atmosphere, Biogeochemical Cycles, and Climate Chapters 21–24

#### **Part VII: Chemical Transport Models and Statistical Models** Chapters 25 and 26

As in the First and Second Editions, many colleagues have provided important inputs. We want to especially acknowledge Kelvin Bates, Yi-Chun Chen, Neil Donahue, Christos Fountoukis, Daniel Jacob, Jesse Kroll, Mark Lawrence, Renee McVay, Sally Ng, Tran Nguyen, Allen Robinson, Rebecca Schwantes, Manabu Shiraiwa, Rainer Volkamer, Paul Wennberg, Xuan Zhang, and Andreas Zuend.

Finally, we are indebted to Martha Hepworth and Yvette Grant for skillful preparation of the manuscript for the Third Edition.

John H. Seinfeld Spyros N. Pandis PART I

# The Atmosphere andIts Constituents

## **The Atmosphere**

#### 1.1 HISTORY AND EVOLUTION OF EARTH'S ATMOSPHERE

It is generally believed that the solar system condensed out of an interstellar cloud of gas and dust, referred to as the *primordial solar nebula*, about 4.6 billion years ago. The atmospheres of Earth and the other terrestrial planets, Venus and Mars, are thought to have formed as a result of the release of trapped volatile compounds from the planet itself. The early atmosphere of Earth is believed to have been a mixture of carbon dioxide ( $CO_2$ ), nitrogen ( $N_2$ ), and water vapor ( $H_2O$ ), with trace amounts of hydrogen ( $H_2$ ), a mixture similar to that emitted by present-day volcanoes.

The composition of the present atmosphere bears little resemblance to the composition of the early atmosphere. Most of the water vapor that outgassed from Earth's interior condensed out of the atmosphere to form the oceans. The predominance of the  $CO_2$  that outgassed formed sedimentary carbonate rocks after dissolution in the ocean. It is estimated that for each molecule of  $CO_2$  presently in the atmosphere, there are about  $10^5 CO_2$  molecules incorporated as carbonates in sedimentary rocks. Since  $N_2$  is chemically inert, non-water-soluble, and noncondensable, most of the outgassed  $N_2$  accumulated in the atmosphere over geologic time to become the atmosphere's most abundant constituent.

The early atmosphere of Earth was a mildly reducing chemical mixture, whereas the present atmosphere is strongly oxidizing. Geochemical evidence points to the fact that atmospheric oxygen underwent a dramatic increase in concentration about 2300 million years ago (Kasting 2001). While the timing of the initial  $O_2$  rise is now well established, what triggered the increase is still in question. There is agreement that  $O_2$  was initially produced by cyanobacteria, the only prokaryotic organisms (*bacteria* and *archea*) capable of oxygenic photosynthesis. These bacteria emerged 2700 million years ago. The gap of 400 million years between the emergence of cyanobacteria and the rise of atmospheric  $O_2$  is still an issue of debate. The atmosphere from 3000 to 2300 million years ago was rich in reduced gases such as  $H_2$  and  $CH_4$ . Hydrogen can escape to space from such an atmosphere. Since the majority of Earth's hydrogen was in the form of water,  $H_2$  escape would lead to a net accumulation of  $O_2$ . One possibility is that the  $O_2$  left behind by the escaping  $H_2$  was largely consumed by oxidation of continental crust. This oxidation might have sequestered enough  $O_2$  to suppress atmospheric levels before 2300 million years ago, the point at which the flux of reduced gases fell below the net photosynthetic production rate of oxygen. The present level of  $O_2$  is maintained by a balance between production from photosynthesis and removal through respiration and decay of organic carbon (Walker 1977).

Atmospheric Chemistry and Physics: From Air Pollution to Climate Change, Third Edition. John H. Seinfeld and Spyros N. Pandis. © 2016 John Wiley & Sons, Inc. Published 2016 by John Wiley & Sons, Inc.

Earth's atmosphere is composed primarily of the gases  $N_2$  (78%),  $O_2$  (21%), and Ar (1%), whose abundances are controlled over geologic timescales by the biosphere, uptake and release from crustal material, and degassing of the interior. Water vapor is the next most abundant constituent; it is found mainly in the lower atmosphere and its concentration is highly variable, reaching concentrations as high as 3%. Evaporation and precipitation control its abundance. The remaining gaseous constituents, the *trace gases*, represent less than 1% of the atmosphere. These trace gases play a crucial role in Earth's radiative balance and in the chemical properties of the atmosphere.

Aristotle was the first to propose in his book *Meteorologica* in 347 BC that the atmosphere was actually a mixture of gases and that water vapor should be present to balance the water precipitation to Earth's surface. The study of atmospheric chemistry can be traced back to the eighteenth century when chemists such as Joseph Priestley, Antoine-Laurent Lavoisier, and Henry Cavendish attempted to determine the chemical components of the atmosphere. Largely through their efforts, as well as those of a number of nineteenth-century chemists and physicists, the identity and major components of the atmosphere, N<sub>2</sub>, O<sub>2</sub>, water vapor, CO<sub>2</sub>, and the rare gases, were established. In the late nineteenth–early twentieth century focus shifted from the major atmospheric constituents to trace constituents, that is, those having mole fractions below  $10^{-6}$ , 1 part per million (ppm) by volume. We now know that the atmosphere contains a myriad of trace species. Spectacular innovations in instrumentation over the last several decades have enabled identification of atmospheric trace species down to levels of about  $10^{-12}$  mole fraction, 1 part per trillion (ppt) by volume.

The extraordinary pace of the recent increases in atmospheric trace gases can be seen when current levels are compared with those of the distant past. Such comparisons can be made for  $CO_2$  and  $CH_4$ , whose histories can be reconstructed from their concentrations in bubbles of air trapped in ice in such perpetually cold places as Antarctica and Greenland. With gases that are long-lived in the atmosphere and therefore distributed rather uniformly over the globe, such as  $CO_2$  and  $CH_4$ , polar ice core samples reveal global average concentrations of previous eras. Analyses of bubbles in ice cores show that  $CO_2$  and  $CH_4$  concentrations remained essentially unchanged from the end of the last ice age some 10,000 years ago until roughly 300 years ago, at mole fractions close to 260 and 0.7 ppm by volume, respectively. Activities of humans account for most of the rapid changes in the trace gases over the past 200 years–combustion of fossil fuels (coal and oil) for energy and transportation, industrial and agricultural activities, biomass burning (the burning of vegetation), and deforestation.

These changes have led to the definition of a new era in Earth's history, the *Anthropocene* (Crutzen and Steffen 2003). Records of atmospheric  $CO_2$ ,  $CH_4$ , and  $N_2O$  show a clear increase since the end of the eighteenth century, coinciding more or less with the invention of the steam engine in 1784. The global release of  $SO_2$ , from coal and oil burning, is at least twice that of all natural emissions. More nitrogen is now fixed synthetically and applied as fertilizers in agriculture than fixed naturally in all terrestrial ecosystems. The Haber–Bosch industrial process to produce ammonia from  $N_2$ , in many respects, made the human explosion possible.

The emergence of the Antarctic ozone hole in the 1980s provided unequivocal evidence of the ability of trace species to perturb the atmosphere. The essentially complete disappearance of ozone in the Antarctic stratosphere during the austral spring is now recovering, owing to a global ban on production of stratospheric ozone-depleting substances. Whereas stratospheric ozone levels eroded in response to human emissions, those at ground level have, over the past century, been increasing. Paradoxically, ozone in the stratosphere protects living organisms from harmful solar ultraviolet radiation, whereas increased ozone in the lower atmosphere has the potential to induce adverse effects on human health and plants.

Levels of airborne particles in industrialized regions of the Northern Hemisphere have increased markedly since the Industrial Revolution. Atmospheric particles (aerosols) arise both from direct emissions and from gas-to-particle conversion of vapor precursors. Aerosols can affect climate and have been implicated in human morbidity and mortality in urban areas.

Atmospheric chemistry comprises the study of the mechanisms by which molecules introduced into the atmosphere react and, in turn, how these alterations affect atmospheric composition and properties (Ravishankara 2003). The driving force for chemical changes in the atmosphere is sunlight. Sunlight directly interacts with many molecules and is also the source of most of the atmospheric free radicals. Despite their very small abundances, usually less than one part in a billion parts of air, free radicals act to transform most species in the atmosphere. The study of atmospheric chemical processes begins with determining basic chemical steps in the laboratory, then quantifying atmospheric emissions and removal processes, and incorporating all the relevant processes in computational models of transport and transformation, and finally comparing the predictions with atmospheric observations to assess the extent to which our basic understanding agrees with the actual atmosphere. Atmospheric chemistry occurs against the fabric of the physics of air motions and of temperature and phase changes. In this book we attempt to cover all aspects of atmospheric chemistry and physics that bear on air pollution and climate change.

#### 1.2 CLIMATE

Viewed from space, Earth is a multicolored marble: clouds and snow-covered regions of white, blue oceans, and brown continents. The white areas make Earth a bright planet; about 30% of the sun's radiation is reflected immediately back to space. The surface emits infrared radiation back to space. The atmosphere absorbs much of the energy radiated by the surface and reemits its own energy, but at lower temperatures. In addition to gases in the atmosphere, clouds play a major climatic role. Some clouds cool the planet by reflecting solar radiation back to space; others warm the earth by trapping energy near the surface. On balance, clouds exert a significant cooling effect on Earth.

The temperature of the earth adjusts so that the net flow of solar energy reaching Earth is balanced by the net flow of infrared energy leaving the planet. Whereas the radiation budget must balance for the entire Earth, it does not balance at each particular point on the globe. Very little solar energy reaches the white, ice-covered polar regions, especially during the winter months. The earth absorbs most solar radiation near its equator. Over time, though, energy absorbed near the equator spreads to the colder regions of the globe, carried by winds in the atmosphere and by currents in the oceans. This global heat engine, in its attempt to equalize temperatures, generates the earth's climate. It pumps energy into storm fronts and powers hurricanes. In the colder seasons, low-pressure and high-pressure cells push each other back and forth every few days. Energy is also transported over the globe by masses of wet and dry air. Through evaporation, air over the warm oceans absorbs water vapor and then travels to colder regions and continental interiors where water vapor condenses as rain or snow, a process that releases heat into the atmosphere.

The condition of the atmosphere at a particular location and time is its *weather*; this includes winds, clouds, precipitation, temperature, and relative humidity. In contrast to weather, the *climate* of a region is the condition of the atmosphere over many years, as described by long-term averages of the same properties that determine weather.

#### **1.3 LAYERS OF THE ATMOSPHERE**

In the most general terms, the atmosphere is divided into lower and upper regions. The lower atmosphere is generally considered to extend to the top of the stratosphere, an altitude of about 50 kilometers (km). Study of the lower atmosphere is known as *meteorology*; study of the upper atmosphere is called *aeronomy*.

The earth's atmosphere is characterized by variations of temperature and pressure with height. In fact, the variation of the average temperature profile with altitude is the basis for distinguishing the layers of the atmosphere. The regions of the atmosphere are (Figure 1.1) as follows:

*Troposphere.* The lowest layer of the atmosphere, extending from Earth's surface up to the tropopause, which is at 10–15 km altitude depending on latitude and time of year; characterized by decreasing temperature with height and rapid vertical mixing.



FIGURE 1.1 Layers of the atmosphere.

- *Stratosphere.* Extends from the tropopause to the stratopause (from ~45 to 55 km altitude); temperature increases with altitude, leading to a layer in which vertical mixing is slow.
- *Mesosphere.* Extends from the stratopause to the mesopause (from ~80 to 90 km altitude). Its temperature decreases with altitude to the mesopause, which is the coldest point in the atmosphere. It is characterized by rapid vertical mixing.
- *Thermosphere.* The region above the mesopause characterized by high temperatures as a result of absorption of short-wavelength radiation by  $N_2$  and  $O_2$  and rapid vertical mixing. The *ionosphere* is a region of the upper mesosphere and lower thermosphere where ions are produced by photoionization.
- *Exosphere.* The outermost region of the atmosphere (>500 km altitude) where gas molecules with sufficient energy can escape from Earth's gravitational attraction.

Over the equator the average height of the tropopause is about 16 km; over the poles, about 8 km. By convention of the World Meteorological Organization (WMO), the *tropopause* is defined as the lowest level at which the rate of decrease in temperature with height is sustained at  $\leq 2 \text{ K km}^{-1}$  (Holton et al. 1995). The tropopause is at a maximum height over the tropics, sloping downward moving toward the poles. The *troposphere*—a term coined by British meteorologist, Sir Napier Shaw, from the Greek word *tropi*, meaning turn—is a region of ceaseless turbulence and mixing. The caldron of all weather, the troposphere contains almost all of the atmosphere's water vapor. Although the troposphere accounts for only a small fraction of the atmosphere's total height, it contains about 80% of its total mass. In the troposphere, the temperature decreases almost linearly with height. In dry air the rate of decrease with increasing altitude is 9.7 K km<sup>-1</sup>. The reason for this decline in temperature is the increasing distance

from the sun-warmed earth. At the tropopause, the temperature has fallen to an average of ~217 K (-56 °C). The troposphere can be divided into the *planetary boundary layer*, extending from Earth's surface up to ~1 km, and the *free troposphere*, extending from ~1 km to the tropopause.

The stratosphere, extending from approximately 11 km to ~50 km, was discovered at the turn of the twentieth century by the French meteorologist Léon Philippe Teisserenc de Bort. Sending up temperature-measuring devices in balloons, he found that, contrary to the popular belief of the day, the temperature in the atmosphere did not steadily decrease to absolute zero with increasing altitude, but stopped falling and remained constant after 11 km or so. He named the region the *stratosphere* from the Latin word *stratum*, meaning layer. Although an isothermal region does exist from approximately 11–20 km at midlatitudes, temperature progressively increases from 20 to 50 km, reaching 271 K at the stratopause, a temperature not much lower than the average of 288 K at Earth's surface. The vertical thermal structure of the stratosphere is a result of absorption of solar ultraviolet radiation by  $O_3$ .

#### 1.4 PRESSURE IN THE ATMOSPHERE

#### 1.4.1 Units of Pressure

The unit of pressure in the International System of Units (SI) is newtons per meter squared (N m<sup>-2</sup>), which is called the *pascal* (Pa). In terms of pascals, the atmospheric pressure at the surface of Earth, the so-called standard atmosphere, is  $1.01325 \times 10^5$  Pa. Another commonly used unit of pressure in atmospheric science is the millibar (mbar), which is equivalent to the hectopascal (hPa) (see Tables A.5 and A.8). The standard atmosphere is 1013.25 mbar.

Because instruments for measuring pressure, such as the manometer, often contain mercury, commonly used units for pressure are based on the height of the mercury column (in millimeters) that the gas pressure can support. The unit mm Hg is often called the *torr* in honor of the scientist Evangelista Torricelli. A related unit for pressure is the standard atmosphere (abbreviated atm).

We summarize the various pressure units as follows:

 $\begin{array}{rll} 1 \ Pa & = 1 \ N \ m^{-2} = 1 \ kg \ m^{-1} \ s^{-2} \\ 1 \ atm & = 1.01325 \times 10^5 \ Pa \\ 1 \ bar & = 10^5 \ Pa \\ 1 \ mbar & = 1 \ hPa = 100 \ Pa \\ 1 \ torr & = 1 \ mm \ Hg = 134 \ Pa \end{array}$ 

Standard atmosphere:  $1.01325 \times 10^5$ Pa = 1013.25 hPa = 1013.25 mbar = 760 torr

The variation of pressure and temperature with altitude in the standard atmosphere is given in Table A.8. Because the millibar (mbar) is the unit most commonly used in the meteorological literature, we will use it when discussing pressure at various altitudes in the atmosphere. Mean surface pressure at sea level is 1013 mbar; global mean surface pressure, calculated over both land and ocean, is estimated as 985.5 mbar. The lower value reflects the effect of surface topography; over the highest mountains, which reach an altitude of over 8000 m, the pressure may be as low as 300 mbar. The 850 mbar level, which as we see from Table A.8, is at about 1.5 km altitude, is often used to represent atmospheric quantities, such as temperature, as the first standard meteorological level above much of the topography.

#### **1.4.2** Variation of Pressure with Height in the Atmosphere

Let us derive the equation governing the pressure in the static atmosphere. Imagine a volume element of the atmosphere of horizontal area dA between two heights, z and z + dz. The pressures exerted on the top and bottom faces are p(z+dz) and p(z), respectively. The gravitational force on the mass of air in the

volume =  $\rho g \, dA \, dz$ , with p(z) > p(z + dz) due to the additional weight of air in the volume. The balance of forces on the volume gives

$$(p(z) - p(z + dz)) dA = \rho g dA dz$$

Dividing by dz and letting  $dz \rightarrow 0$  produce

$$\frac{dp(z)}{dz} = -\rho(z)g\tag{1.1}$$

where  $\rho(z)$  is the mass density of air at height *z* and *g* is the acceleration due to gravity. From the ideal-gas law, we obtain

$$\rho(z) = \frac{M_{\rm air} p(z)}{RT(z)} \tag{1.2}$$

where  $M_{\rm air}$  is the average molecular weight of air (28.97 g mol<sup>-1</sup>). Thus

$$\frac{dp(z)}{dz} = -\frac{M_{\rm air}gp(z)}{RT(z)} \tag{1.3}$$

which we can rewrite as

$$\frac{d\ln p(z)}{dz} = -\frac{1}{H(z)} \tag{1.4}$$

where  $H(z) = RT(z)/M_{airg}$  is a characteristic length scale for decrease of pressure with height.

The temperature in the atmosphere varies by a factor of <2, while the pressure changes by six orders of magnitude (see Table A.8). If the temperature can be taken to be approximately constant, just to obtain a simple approximate expression for p(z), then the pressure decrease with height is approximately exponential

$$\frac{p(z)}{p_0} = e^{-z/H}$$
(1.5)

where  $H = RT/M_{air} g$  is called the *pressure scale height*.

Since the temperature was assumed to be constant in deriving (1.5), a temperature at which to evaluate H must be selected. A reasonable choice is the mean temperature of the troposphere. Taking a surface temperature of 288 K (Table A.8) and a tropopause temperature of 217 K, the mean tropospheric temperature is 253 K and H=7.4 km.

#### Number Concentration of Air at Sea Level and as a Function of Altitude The number concentration of air at sea level is

$$n_{\rm air}(0) = \frac{p_0 N_1}{PT}$$

where  $N_A$  is Avogadro's number (6.022 × 10<sup>23</sup> molecules mol<sup>-1</sup>) and  $p_0$  is the standard atmospheric pressure (1.013 × 10<sup>5</sup> Pa). The surface temperature of the US Standard Atmosphere (Table A.8) is 288 K, so

$$n_{air}(0) = \frac{(6.022 \times 10^{23} \text{ molecules mol}^{-1})(1.013 \times 10^5 \text{ N m}^{-2})}{(8.314 \text{ N m mol}^{-1} \text{ K}^{-1})(288 \text{ K})}$$
  
= 2.55 × 10<sup>25</sup> molecules m<sup>-3</sup>  
= 2.55 × 10<sup>19</sup> molecules cm<sup>-3</sup>

Throughout this book we will need to know the number concentration of air molecules as a function of altitude. We can estimate this using the average scale height H=7.4 km and

$$n_{\rm air}(z) = n_{\rm air}(0)e^{-z/H}$$

where  $n_{air}(0)$  is the number density at the surface. If we take the mean surface temperature as 288 K, then  $n_{air}(0) = 2.55 \times 10^{19}$  molecules cm<sup>-3</sup>. The table below gives the approximate number concentrations at various altitudes based on the average scale height of 7.4 km and the values from the US Standard Atmosphere:

	$n_{\rm air}$ (molecules cm <sup>-3</sup> )		
<i>z</i> (km)	Approximate	US Standard Atmosphere <sup>a</sup>	
0	$2.55 \times 10^{19}$	$2.55 \times 10^{19}$	
5	$1.3 \times 10^{19}$	$1.36 \times 10^{19}$	
10	$6.6 \times 10^{18}$	$6.7 \times 10^{18}$	
15	$3.4 \times 10^{18}$	$3.0 \times 10^{18}$	
20	$1.7 \times 10^{18}$	$1.4 \times 10^{18}$	
25	$8.7 \times 10^{17}$	$6.4 \times 10^{17}$	

<sup>a</sup>See Table A.8.

Often we need to use the atmospheric number concentration at Earth's surface at 298 and 273 K:

$n_{\rm air}$	=	$2.46 \times 10^{19}$	molecules cm <sup>-3</sup>	298 K
	=	2.69		273 K

#### Total Mass, Moles, and Molecules of the Atmosphere

The total mass of the atmosphere  $m_{\text{atm}}$  is

$$m_{\rm atm} = \int_0^\infty \rho(z) A_e dz$$

where  $A_e = 4\pi R_e^2$ , the total surface area of the earth. We can obtain an estimate of the total mass of the atmosphere using (1.5) as follows:

$$m_{\text{atm}} = 4\pi R_e^2 \rho_0 \int_0^\infty e^{-z/H} dz$$
$$= 4\pi R_e^2 \rho_0 H$$

Using  $R_e \cong 6400 \text{ km}$ ,  $H \cong 7.4 \text{ km}$ , and  $\rho_0 \cong 1.23 \text{ kg m}^{-3}$  (Table A.8), we get the following rough estimate:

$$m_{\rm atm} \cong 4.7 \times 10^{18} \, \rm kg$$

An estimate for the total number of moles of air in the atmosphere is total mass/ $M_{air}$ 

Total moles 
$$\cong 1.62 \times 10^{20}$$
 mol

and an estimate of the total number of molecules in the atmosphere is

Total molecules 
$$\cong 1.0 \times 10^{44}$$
 molecules